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THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re the application of: Ira Herman and Alice Welch

Serial No.: 09/750,590

Filed: December 28, 2000

For: *BETA-CAP73 Control of Normal and Abnormal  
Cell Migration*

Attorney Docket No.: TUI-001CP

Group Art Unit: 1645 TECH CENTER 1600/2900

Examiner: Not Yet Assigned

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT  
APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO  
ACID SEQUENCE DISCLOSURES**

Dear Sir:

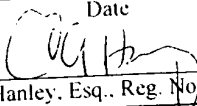
This is in response to the Examiner's communication dated February 12, 2001.

**REMARKS**

In the Notice to Comply With Requirements For Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures February 12, 2001, the Examiner requires that Applicants submit a computer readable form (CRF) copy of the "Sequence Listing", as well as a paper copy of the "Sequence Listing" for the above-identified application. Applicants submit herewith pages 1-12, which contain the Sequence Listing as required in the Notice.

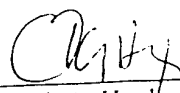
In addition, Applicants submit herewith a computer-readable form (diskette) of this sequence listing which is identical in substance to the Sequence Listing appearing on pages 1-12 submitted herewith.

No new matter has been added to the application. Accordingly, as the above amendments do not affect the issue of patentability, it is respectfully requested that they be entered.

<p><b>Certificate of First Class Mailing (37 C.F.R. §1.8(a))</b></p> <p>I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Sequence, Washington, DC 20231 on:</p> <p>September 04, 2001</p> <p>Date</p> <p></p> <p>Elizabeth A. Hanley, Esq., Reg. No. 33,505</p>
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Respectfully submitted,

LAHIVE & COCKFIELD, LLP

  
Elizabeth A. Hanley, Esq.  
Attorney for Applicants  
Reg. No. 33,505



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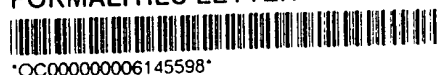
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,590	12/28/2000	Ira Herman	TUI001"CP"

959  
LAHIVE & COCKFIELD  
28 STATE STREET  
BOSTON, MA 02109

CONFIRMATION NO. 6511

FORMALITIES LETTER



\*OC000000006145598\*

Date Mailed: 06/04/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

*A copy of this notice **MUST** be returned with the reply.*

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE